Conditions and Key Points of Control Inspection for Registration of Overseas Production Enterprises of Imported Edible Oils

Registration No.:

Enterprise Name:

Address:

Date of Filling:

Notes:

1. In accordance with the Provisions of the People's Republic of China on the Administration of Registration of Overseas Imported Food Production Enterprises (Decree No. 248 of the General Administration of Customs), the overseas edible oils production enterprises applying for registration with China shall establish effective food safety and hygiene management and protection system to ensure that the food exported to China complies with the relevant laws and regulations of China and the national food safety standards, and meets the relevant inspection and quarantine requirements agreed upon by the General Administration of Customs and the competent authorities of the countries (regions) where they are located. This form is for the foreign edible oils to conduct official inspection on edible oil production enterprises according to the listed main conditions and basis and against the inspection points. At the same time, edible oil production enterprises shall fill in and submit information to support the application form according to the listed main conditions and basis, and can also conduct self-inspection against the inspection points for self-evaluation before applying for registration.

2. Foreign competent authorities and foreign edible oil production enterprises must make a truthful judgment of conformity according to the actual situation of the control inspection.

3. The submitted materials shall be truly filled out in Chinese or English. The Appendix shall be numbered, and their numbers and contents shall accurately correspond to the item numbers and contents in the column of "Filling Requirements and Supporting Materials". The list of supporting materials shall be attached.

4. Edible oils refer to the single products or mixtures of vegetable oils prepared from edible vegetable oil seeds for processing or consumption as well as vegetable oils processed in one or several ways in refining and extraction.

Items	Conditions and bases	Filling requirements and supporting materials	Key points for review	Conformity determination	Remarks
		1. Enterprise Overvi	ew		
1.1. Enterprise Overview	Imported Food Production Enterprises (Decree No. 248 of the General Administration of Customs) 2. Relevant Inspection and Quarantine Protocol signed between the competent authority of the applicant country and the General Administration of Customs.	since the establishment of the enterprise in case of establishment for less than 2 years), including the production capacity, annual actual output (by variety), export volume (if any, by variety and country), etc.	actual production and processing. 2. The edible oils to be exported to China shall conform to the product scope stipulated in relevant agreements, protocols, and memorandums on inspection and quarantine.	□ Conforming □ Non-conforming	
1.2Management system	People's Republic of China on the Administration of	terms of phytosanitary prevention and	documents covering but not limited to the phytosanitary prevention and control, food	□ Conforming □ Non-conforming	

(D Ge of 2. and sig co the the Ac Cu	Decree No. 248 of the eneral Administration f Customs) Relevant Inspection and Quarantine Protocol gned between the ompetent authority of the applicant country and the General dministration of ustoms.	inspection, recall of unqualified products, traceability management, etc.	management, use of chemicals, acceptance of raw materials, warehouse management, an inspection of the finished product for export purposes, recall of nonconforming products, and traceability management.	
1.3 Management organization 0 Geo of 2. and Gr sig au	eneral Administration	nepartments of posts related to	The enterprise shall set up a department or post responsible for the management of plant health and food safety, and shall have management personnel with the background of these professions.	□ Conforming □ Non-conforming

	Administration of Customs.				
		2. Enterprise Location and Wor	kshop Layout	· · · · · ·	
2.1 Site Selection and Plant Environment	14881) 2. Article 3.2 in the National Food Safety	2.1.1 Provide a plant plan, indicating the names of different operation areas. 2.1.2 The ground used for stacking and drying oil seeds shall not pollute food vegetable oil seeds, such as asphalt ground.	 The plant layout meets the needs of production and processing. There is no pollution source around the plant. 	□ Conforming □ Non-conforming	
2.2 Workshop Layout	Production (GB 14881)	2.2 Provide workshop plan. The edible oil filling area shall be separated from other operation areas to prevent cross contamination.	1. There shall be no factors that may cause contamination to products around the growing area of the enterprise	□ Conforming □ Non-conforming	

	Edible Vegetable Oil and Its Product (GB 8955)			
		3. Facility and Equip	ment	
3.1 Production and Processing Equipment	 Article 5.2.1 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881) Article 5.4 in the National Food Safety Standard—Specification for Production Hygiene of Edible Vegetable Oil and Its Product (GB 8955) 	3.1 Provide a list of main production equipment and facilities and design production processing capacity.	1. The enterprise should be equipped with production and processing equipment suitable for the production and processing capacity.	□ Conforming □ Non-conforming □ NA
3.2 Storage Facility	Article 10 of National Food Safety Standards General Hygienic Regulation for Food Production (GB 14881) 2. Article 5.4, 5.5, 5.6, and 5.7 in the National Food Safety Standard—Specification for Production Hygiene of Edible Vegetable Oil	3.2 Provide information on storage tank, warehouse or goods yard.	1 Enterprises shall establish relatively independent and closed storage facilities suitable for production. The temperature, humidity, sanitation, and other conditions in the storage facilities shall be suitable for product storage.	□ Conforming □ Non-conforming □ NA

	and Its Product (GB 8955)			
		4. Water/Ice/Steam	1	
4.1 Water/ice/steam for production and processing (if applicable)	Hygiene of Edible	4.1.1 Provide the required materials for steam condensation used in edible oil processing in direct contact with the product. (If applicable)	The enterprise shall inspect the water quality of production water (if used) to ensure it meets safety requirements.	□ Conforming □ Non-conforming □ NA
	5	. Raw and Auxiliary Materials and F	Packaging Materials	
5.1 Acceptance of Raw and Auxiliary Materials	1. Article 7 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	5.1 Provide the acceptance measures, acceptance criteria, acceptance records, and harm-elimination records of raw materials.	1. The enterprise shall carry out plant quarantine and plant safety inspection of raw materials, or take necessary harm-elimination measures before they are delivered to the factory.	□ Conforming □ Non-conforming

5.2 Source of Raw Materials	 National Food Safety Standard - Limit of Mycotoxins in Foods (GB 2761) National Food Safety Standard - Limit of 	the enterprise's production shall come from any area without the reporting of quarantine pests under close supervision of the Chinese government, and raw material	in Chinese laws and regulations, national food safety standards, relevant agreements, protocols,	□ Conforming □ Non-conforming	
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5.3 Packaging Materials	Modified Organisms Safety 9. Measures for the Administration on the Inspection and Quarantine of the Genetically Modified Organism Entering and Exiting the Territory 1. Article 8.5 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881) 2. Article 7.4 in the Specification for Production Hygiene of Edible Vegetable Oil and Its Product (GB 8955)	5.3.2 Provide label styles for finished products to be exported to China. (If	storage and use conditions. 2. Packaging labels shall meet the	□ Conforming □ Non-conforming □ NA	
		6 Production and Processin	g Control		
6.1 Operation of food safety and hygiene control system	Food Safety Standards General Hygienic Regulation for Food	 6.1.1 Provide the manufacturing process flow, indicate the critical control points (CCP) and the hazard control measures being taken. 6.1.2 If the HACCP system is adopted, provide hazard analysis worksheet and HACCP Schedule, 	1. The production and processing technology and flows and major relevant process parameters of the enterprise shall be scientific and standardized to ensure product safety. Moreover, special hazard control measures shall be	□ Conforming □ Non-conforming □ NA	

	for Production Hygiene	CCP monitoring record, deviation correction record, and verification record sample sheet (if applicable).	taken, or critical control points (CCP) shall be set, for any and all processes crucial to controlling safety risk.	
6.2 Temperature Control	1. Article 7.3 in the National Food Safety Standard—Specification for Production Hygiene of Edible Vegetable Oil and Its Product (GB 8955)	6.2.1 Temperature and moisture records during storage	1. Silos and storage tanks storing bulk raw materials shall be placed by separate warehouses and tanks according to different varieties and different quality grades. The temperature and moisture shall be inspected and recorded for oil seeds during storage.	□ Conforming □ Non-conforming □ NA
6.3 Use of food additives and nutritional fortification substances (if applicable)	Regulation for Food	6.3 List of food additives and nutritional fortification substances used in production and processing (including designation, application, the volume of addition, etc.) (if applicable)	1. The food additives and nutritional fortification substances used in the production conform to China's regulations on the use of food additives.	□ Conforming □ Non-conforming □ NA

	Product (GB 8955) 3. National Food Safety Standards - Standard for the Use of Food Additives (GB 2760) 4. National Food Safety Standard for the Use of Nutritional Fortification Substances in Foods (GB 14880)			
		7.Cleaning and Saniti	zing	
7.1. Cleaning and Sanitizing	1. Article 8.2.1 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	7.1 Provide cleaning and disinfection equipment, washing and disinfectants adapted to production (provide lists) and provide use records and storage records.		□ Conforming □ Non-conforming
		8 Safety risk contro	ol	
8.1Chemical Pollution Control	General Hygienic Regulation for Food Production (GB 14881) 2. Article 8.4 in the National Food Safety Standard—Specification for Production Hygiene	 8.1.1 Provide food safety risk control measures and relevant monitoring records for heavy metals, pesticide residues, and food additives (if used) in the product. 8.1.2 Records of monitoring the key process parameters during the processing of edible oils and their products 	 Ensure compliance with the requirements of China and of the country of origin. Monitoring of process parameters 	□ Conforming □ Non-conforming □ NA

	and Its Product (GB			
	8955)			
	3. National Food Safety			
	Standard - Limit of			
	Contaminants in Foods			
	(GB 2762)			
	4. National Food Safety			
	Standard—Maximum			
	Residue Limits for			
	Pesticides in Food (GB			
	2763)			
	5. National Food Safety			
	Standards - Standard			
	for the Use of Food			
	Additives (GB 2760)			
	6. National Food Safety			
	Standard for the Use of			
	Nutritional			
	Fortification			
	Substances in Foods			
	(GB 14880)			
	7. National Food Safety			
	Standard—Vegetable			
	Oil (GB 2716)			
	8. National Food Safety			
	Standard—Edible			
	Vegetable Oil Seeds			
	(GB 19641)			
8.2 Physical	1. Article 8.4 of National 8	8.2 Provide control measures for	1. Screens, filters, metal	Conforming

contamination control	Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881) 2. Article 8.5 in the National Food Safety Standard—Specificatio n for Production Hygiene of Edible Vegetable Oil and Its Product (GB 8955)		detectors, etc. shall be equipped to control foreign matters, formulate operating specifications, and conduct monitoring effectively. The source of foreign matter found shall be analyzed in a timely manner and relevant control measures shall be taken.	□ Non-conforming
8.3 Biocontamination	(GB 2761)	8.3 Provide the control measures for microbial risks in the product as well as the relevant monitoring records.	1. The product complies with the requirements of China and the country of origin.	□ Conforming □ Non-conforming □ NA
Disease-prone vector control	Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881) 2. Articles 6.2 and 7.3 in the National Food Safety Standard—Specification	 8.4.1 Vector control measures against mosquitoes, mice, and other vectors established by enterprises throughout all production processes 8.4.2 Photos of the installed protective facilities such as screens, rat guards, air curtains, etc. in the closed production and storage places such as filling workshops and warehouses 	 Insect and rat pests such as mosquitoes and flies shall be avoided to affect production safety and health. Pest records of the oil seeds during storage 	□ Conforming □ Non-conforming

	of Edible Vegetable Oil and Its Product (GB 8955)	8.4.3 Pest inspection records of oil seeds during storage, and corresponding treatment measures taken for mildew and worm-eaten found			
8.5 Waste Management	1. Article 6.5 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	8.5 Provide waste management system and relevant disposal records.	 Edible product containers and waste storage containers in the workshop shall be clearly marked and distinguished. Waste should be stored separately and disposed of in time to avoid pollution to production. 	□ Non-conforming □ NA	
		9. Product Traceabil	lity		
9.1 Traceability and Recall	Food Safety Standards - General Hygienic Regulation for Food	9.1. Describe the product traceability procedure in a brief manner and take the batch number of a batch of finished products as an example to illustrate how to trace raw materials from finished products.	1. Traceability procedures should be established to realize the two-way traceability of the whole chain from raw materials, production, and processing processes to finished products.	□ Conforming □ Non-conforming	
9.2Warehouse - in and out management	1. Article 11 and 14.1 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	9.2 Provide product warehouse-in and warehouse-out management.	1 Products shall be inspected before entering the warehouse, and the records of incoming inspection, storage, and delivery shall be well maintained and kept for at least 2 years.	□ Conforming □ Non-conforming	
		10. Personnel Management a	•	<u>I </u>	
10.1 Personnel	1. Article 6.3 of National	10.1 Provide pre-employment health	1. Employees should have a	Conforming	

Hygiene	Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	management and medical examination requirements for employees.	medical examination and prove that they are suitable for working in food processing enterprises before employment. 2. Employees shall have regular physical examinations and keep records.	□ Non-conforming
10.2 Personnel Training	1. Article 12 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	10.2 Provide annual training plans, contents, assessments and records for employees.	1. The training shall cover relevant inspection and quarantine memorandums, agreements and protocols, Chinese regulations and standards, etc.	□ Conforming □ Non-conforming
Requirements for	1. Article 13.3 of National Food Safety Standards - General Hygienic Regulation for Food Production (GB 14881)	10.3 Provide the training records of management personnel on the relevant provisions of the laws and regulations on phytosanitary and food safety of the country/region where the exported products are located and of China and conduct on-site sampling inspection and Q&A when necessary.	 The business competence of the production and management personnel of the enterprise shall be adapted to the post requirements, familiar with the relevant provisions of phytosanitary and food safety laws and regulations in the country/region where it is located and China, and the requirements of the protocol and the Specification concluded by the two sides. Have qualifications and capabilities suitable for their work. 	□ Conforming □ Non-conforming

11. Self-inspection and Self-control					
11 Product inspection	of Edible Vegetable Oil and Its Product (GB 8955) 3. National Food Safety Standard Vegetable	11.1 Provide the items, indicators, inspection and quarantine methods, and frequency of plant quarantine and food safety inspection. 11.2 If the enterprise has its own laboratory, please submit the laboratory capability and qualification certificates; if the enterprise entrusts a third-party laboratory, please provide the qualification certificates of the entrusted laboratory. 11.3 Inspection and testing records	 The enterprise shall carry out plant quarantine, food safety, and other tests on the products, ensuring that the results of tests meet the requirements of China. The test records shall be kept for no less than 2 years. The enterprise shall be capable of inspecting and testing the phytosanitary and food safety or may entrust a qualified institution with such inspection and testing. 	□ Conforming □ Non-conforming	

Nutritional Fortification		
Substances in Foods		
(GB 14880)		
8. National Food Safety		
Standard - Limit of		
Mycotoxins in Foods		
•		
(GB 2761) 0. National Food Safety		
9. National Food Safety		
Standard - Limit of		
Contaminants in Foods		
(GB 2762)		
10. National Food Safety		
Standard - Maximum		
Residue Limits for		
Pesticides in Food (GB		
2763)		
11. National Food Safety		
Standard—General Rules		
of Pre-packaged Food		
Labels (GB 7718)		
12. National Food Safety		
Standard—General		
Rules for Nutrition		
Labeling of		
Prepackaged Foods (GB		
28050)		
13. National Food Safety		
Standard—Limited		
Concentrations of		

	Radioactive Materials in Foods (GB 14882)				
12 Pest Control					
12.1 Prevention and control of quarantine pests of concern to China	quarantine memorandum,	12.1 Provide the list of quarantine pests of concern to China and the monitoring system and records.	1. The enterprise shall take effective measures during production and storage to prevent products from being infected by harmful organisms, monitor the quarantine pests of concern to China, and keep the monitoring records for at least 2 years.	□ Conforming □ Non-conforming □ NA	
12.2 Identification of Pests	quarantine memorandum, agreement, and protocol		1. The enterprise shall be capable of identifying pests found during the processing of production and storage or entrust a professional organization with the identification, and establish work records, which shall be kept for no less than 2years.	□ Conforming □ Non-conforming □ NA	
12.3 Pest control	agreement, and protocol	12.3 Provide the records of implementation of pest control measures in production and storage areas.	1. The enterprise shall implement pest control measures in production and storage areas on a regular basis or when necessary. Relevant control measures shall be documented, and the records shall be kept for at least 2 years.	□ Conforming □ Non-conforming □ NA	

12.4 Fumigation treatment (if necessary)	Bilateral inspection and quarantine memorandum, agreement, and protocol	12.4 Provide the fumigation treatment method as well as the qualification of the fumigation institution and personnel.	1. Fumigation treatment method shall meet the requirements of China, and the institution and personnel conducting fumigation shall have relevant qualifications or conditions.	□ Conforming □ Non-conforming □ NA
		13. Statement		
13.1 Declaration by Enterprise	1. Articles 8 and 9 of the Provisions of the People's Republic of China on the Administration of Registration of Overseas Imported Food Production Enterprises (Decree No. 248 of the General Administration of Customs)		1. Signature of legal person and company seal	□ Conforming □ Non-conforming
13.2 Confirmation by Competent Authority	1. Articles 8 and 9 of the Provisions of the People's Republic of China on the Administration of Registration of Overseas Imported Food Production Enterprises (Decree No. 248 of the General Administration of Customs)		1.Signature of principal and seal of competent authority	□ Conforming □ Non-conforming